

2022 QUESTIONNAIRE

If any of the following items pertain to you or your spouse for 2022 please check the appropriate box and provide additional information.

CLIENT PERSONAL INFORMATION FOR: Your name(s) _____

1. Marital status on the last day of the year? Circle all that apply: Single Married
Living with spouse Not living with spouse Registered domestic partner Pending Divorce/Separated

2. Your country of citizenship _____ Spouse's country of citizenship _____

Yes No

- Did you receive an Identity Protection PIN from IRS or have you been a victim of identity theft? If so, please provide the IRS letter.
- Are you a member of the military? Declared state of residency _____
- Spouse a member of the military? Spouse state of residency _____
- Did you have any disabled dependents?
- Could you be claimed as a dependent on another person's tax return for 2022?
- Did you have any dependents including children under age of 19 or full-time students under age 24 at the end of 2022 with income? DUE DILIGENCE REQUIREMENTS ARE EXTREMELY STRINGENT. PLEASE PROVIDE **ALL** INCOME INFORMATION FOR **ALL** DEPENDENTS. USE A SEPARATE PAGE IF NECESSARY.
- Did you use a day-care provider for your dependents? If yes, was that person a family member?
- If divorced or separated, are you the custodial parent of your dependents? If so, which ones?
- If you are not the custodial parent, do you have an arrangement to claim the deduction for your child each year? If so, you must obtain a signed Form 8332 from the custodial parent for each year you claim the child/children.
- Have you ever had your Earned Income Tax Credit denied or disallowed in the past?

HEALTH CARE COVERAGE (Now mandated by CA)

- Did you and your dependents have healthcare coverage for the full-year? If no, please indicate the months you were covered or, if applicable, please provide your exemption certificate.
- Did you receive any of the following IRS and/or CA forms? Form 1095-A, 1095-B, Form 1095-C (IRS) or Form 3895 (CA). If so, please provide. Health insurance coverage is mandated by CA for 2022.

INCOME

- Did you receive unemployment benefits in 2022? You will receive a Form 1099-G from the EDD (Employment Development Department).
- Did you receive Social Security benefits or disability income in 2022?
- Were you a policyholder who received payments under a long-term care (LTC) insurance contract or received any accelerated death benefits from a life insurance policy?
- Did you receive a distribution from a Health Savings or Medical Savings account?
- Did you receive unreported tip income of \$20 or more in any month?

Yes No

- Did you have an interest in or signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account?)
- Did you receive any foreign income or pay any foreign taxes?
- Did you receive a distribution from, or were you the grantor of or transferor to, a foreign trust or did you have an interest in any foreign assets or accounts?
- Did you receive any other miscellaneous payments that may be taxable in 2022?
- Did you purchase any items by mail or internet on which you were not charged or did not pay CA sales tax? If so, please provide the total amount of those purchases _____. We will enter the appropriate amount of sales tax due on your 2022 CA income tax return.

BUSINESS, INVESTMENTS, PURCHASES, SALES, AND DEBT

- Did you start a business or farm, purchase rental or royalty property, or acquire an interest in a partnership, S corporation, trust, or REMIC?
- Was your home rented out or used for business?
- Do you own rental property?
- Did you pay any unincorporated service company more than \$600 in the course of your business or renting your property? If yes, to whom and what amount?: _____
- Did you incur moving expenses due to a change of employment (still deductible for CA purposes)?
- Did you purchase or dispose of any business assets (furniture, equipment, vehicles, real estate, etc.) or convert any personal assets to business use?
- Did you buy or sell any stocks, bonds, or other investment property such as cryptocurrency in 2022?
- Does your employer offer stock options? If so, have you been tracking the activity? Did you exercise any options during 2022? Did you purchase any stock as an employee?
- Do you have an interest in a corporation, partnership/LLC, or limited partnership? Limited partnerships include gas and oil types.
- Did you purchase, sell, or refinance your principal residence, refinance your second home or rental, or take a home equity loan or line of credit?
- Did you make any residential energy-efficient improvements or purchases involving solar, wind, geothermal, or fuel cell energy sources?
- Did you have any debts cancelled or forgiven?
- Does anyone owe you money which has become uncollectible?

RETIREMENT PLANS

- Did you **receive a distribution** from a retirement plan (401(k), IRA, ROTH IRA, SEP, SIMPLE, Qualified Plan, etc.)?
- Did you **make a contribution** to a retirement plan (401(k), IRA, ROTH IRA, SEP, SIMPLE, Qualified Plan, etc.)?
- Did you transfer or rollover any amount from one retirement plan to another retirement plan?
- Did you convert all or part of a traditional, SEP, or SIMPLE IRA to a ROTH IRA in 2022?

Yes No

EDUCATION

- Did you or any dependent receive a distribution from an Education Savings Account (529 plan) or Qualified Tuition Program?
- Did you, your spouse, or a dependent incur any tuition or other education expenses that were required in order to attend a college, university, or vocational school?

ITEMIZED DEDUCTIONS (the following are still deductible for CA purposes):

- Did you incur a loss because of damaged or stolen property?
- Did you work out of town for part of the year?
- Did you use your car on the job (other than to and from work)?
- Did you have any unreimbursed employee business expenses?

ESTIMATED TAXES

- Did you apply an overpayment of 2021 taxes to your 2022 estimated tax (instead of being refunded)?
- If you have an overpayment of 2022 taxes do you want the excess applied to your 2022 estimated tax (instead of being refunded)?
- Do you expect your 2023 taxable income and withholdings to be different from 2022?

MISCELLANEOUS

- May the IRS or any other taxing authority discuss your tax return with your preparer?
- Were you notified or audited by either the IRS or your state taxing agency?
- Do you or your spouse want to allocate \$3 to the Presidential Election Campaign Fund?
- Did you make a contribution to a medical savings account (HSA or MSA)?
- Did you or your spouse gift any one person or entity a cumulative total of \$16,000 or more during 2022?
- Do you still owe money for a prior tax year or years?
- Do you need to file tax returns for any previous years?
- Do you have a will or trust? If so, and you haven't previously provided it to us, please do so this year.

TAX RETURN PREFERENCES

- Would you like a printed copy of your tax return?
- Is your mailbox locked or secure? This only applies if you want a printed copy of your return mailed to you.
- Would you like access to your tax items electronically through our secure client portal www.SmartVault.com?
Taxpayers best email address _____ Spouses best email address _____